

Appendix 2

**Status of Audit Recommendations
Outstanding and Extended for More Than a Year
as of September 30, 2022**

Audit	No. of Recommendations	Rec. #	Comments/Status
CLASS Facilities Rental	1	4	CLASS was replaced by ActiveNet in August 2022 and the new storage category and the Council approved rates and fees were finalized and placed in the new system in Spring of 2022. Recreation staff are contacting the respective tenants of the storage facilities to set up licencing agreements. This is expected to be completed by end of November 2022.
Non-Union Payroll	1	4	Payroll is investigating additional opportunities to reduce the need to approve time on behalf of management, including additional reminder e-mails to all T&L approvers and escalations using e-mails originally intended for Timesheets only, following up with staff who are not approving time regularly and escalating time approval issues to Senior Management where required. There are two remaining departments (CMS and T&W) that Fiori Timesheets will be rolled out to in 2023. The Payroll Technology Review implementation has begun, which includes off-cycle EFT as a priority item. These will enable Payroll to reduce the number of time approvals done on others' behalf. Target completion date for the full City is December 31, 2023.
Infrastructure Services IT Hardware	2	13 & 14	By October 2022, a total of 56 card readers have been installed. There are 31 readers planned for 2023 at 10 sites and the goal is to be completed by 2023.

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Traffic Signals and Systems Program	1	9	<p>Traffic Signals management has escalated the matter with Vice President, Airport Development & Technical Services, GTAA to move this initiative forward. In order to develop an agreement, we require a GTAA representative to partner with the City on preparation, review and execution of the agreement. Unfortunately, this task does not appear to be a priority for the GTAA; however, we anticipate moving forward to meet the anticipated timeline of December 31, 2022.</p> <p>Compensating control: GTAA is paying for the maintenance and operation of traffic signals.</p>
Stormwater Revenue	3	3	<p>City staff have completed their review of the proposed changes to the SLA and it is now under review by the Region of Peel. We are waiting for their response and confirmation to finalize the SLA.</p>
		15	<p>Geospatial Solutions explored a direct connect from GIS to Peel Region Water Billing two years ago and that was not possible based on criteria to assess Impervious Areas.</p> <p>Geospatial Solutions then explored improving automation between GIS and Infor and the result was to migrate Stormwater Assessment to ESRI Platform and utilize an automated sync process to upload data to Infor. Geospatial Solutions contracted Infor to assist with the implementation of their sync tool and development is complete. ESRI Maintenance process is complete. When the IT Production freeze for the election is completed, Geospatial Solutions will look to implement the new process into Production. When the final steps are in Production we can then complete Item #16 by end of year.</p>
		16	<p>Setup of the impervious feature dataset and feature class in Production is currently underway.</p> <p>GIS data models to facilitate maintenance in ArcPro have been completed. An extract/transfer data process will be put in place to write impervious data from SDE to Oracle tables and to produce the graphic</p>

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			<p>.dgn's; this will be temporary until the Infor-GIS synch tool is in production. During this time, current data input into Infor will be performed using existing processes. Once these components are in place, SOP write-up completion will follow and training begins.</p> <p>Compensating Control: GIS staff are still responsible for the process until the Stormwater staff in T&W are trained.</p>
Fire Fleet Acquisition	1	19	<p>The Bid Evaluation Policy is on target for completion by end of year. Presentation to LT and approval by Council planned for Q1 2023.</p>
Paid Parking	3	5	<p>Service Level Agreements (SLA's) with WOM are completed. SLA's with FPM are not required at this time, as the level of involvement with that area is minimal.</p> <p>Municipal Parking staff are working on establishing formal communication protocols with key internal stakeholders to ensure Parking is consulted on all initiatives that may have an operational or financial impact on Municipal Parking. However, additional time is required to complete this due to capacity issues.</p> <p>Revised target date: June 30, 2023.</p>
		11	<p>Policy has been revised by Municipal Parking; however, some items of the policy need to be assessed by other shareholders (i.e. transit discount for employees (MiWay Transit Division), carpooling TDM measures, access to the executive parking (Security)).</p> <p>Municipal Parking will continue to manage the current policy until the revised policy is adopted.</p>
		14	<p>Waiting for the new management team within the City's Emergency Operations Team. Once in place, Municipal Parking (MP) will discuss a formal emergency plan, activities and requirements.</p>

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			Compensating control: Municipal Parking will use the current emergency continuity plan and rely on existing SOPs for items not covered in that plan.
Sign Shop	2	6	Cost centres have been created to move budget from Sign Shop to Traffic Operation and Municipal Parking cost centres. The budget will be reviewed with Finance based on work order actuals for the next budget cycle.
		8	Memorandum of Understanding (MOU) defining clear ownership and responsibilities of signs was approved by majority of the stakeholders, however some have requested changes which management is currently working on.
IT Capital Projects	1	8	Direction was given by the IT Steering Committee to defer Phase 2 of the IT Capital Chargeback transformation project because another related project, the SAP Fiori App Chargeback pilot project, took priority. These projects were further delayed as a result of the SAP upgrade in early 2022.
Works Current Maintenance Contracts	1	4	The Bid Review and Evaluations Policy update is on track for completion by year-end.
Total	16		