

Appendix 2

**Status of Audit Recommendations
Outstanding and Extended for More Than a Year
as of December 31, 2022**

Audit	No. of Recs	Rec. #	Comments/Status	Original Target Date	Revised Target Date	Compensating Controls	Current Priority
Non-Union Payroll	1	4	There are two remaining departments (CMS and T&W) that Fiori Timesheets will be rolled out to in 2023. The Payroll Technology Review implementation has begun, which includes off-cycle EFT as a priority item. These will enable Payroll to reduce the number of time approvals done on others' behalf.	December 31, 2019	December 31, 2023	Sending additional reminder emails to all T&L approvers, and following up with staff who are not approving time regularly and escalating time approval issues to Senior Management, where required.	High
Infrastructure Services IT Hardware	2	13 & 14	Two locations had card readers installed in January, and three are planned for the month of February. A total of 62 of 87 readers have been installed.	January 31, 2021	October 31, 2023	Rooms that do not have card readers installed are under lock and key.	Medium
Traffic Signals and Systems Program	1	9	Traffic Signals management has escalated the matter with Vice President, Airport Development & Technical Services, GTAA to move this initiative forward. In order to develop an agreement, we require a GTAA representative to partner with the City on preparation, review and execution of the agreement. Unfortunately, this task does not appear to be a priority for the GTAA. Our last correspondence with GTAA on December 13, 2022 has not been acknowledged.	December 31, 2020	December 31, 2023	GTAA is continuing to pay for the maintenance and operation of traffic signals.	High
Stormwater Revenue	3	3	Staff met with the Region of Peel to discuss outstanding changes to the SLA on January 17 th . The new SLA includes both the recommendations resulting from	December 31, 2020	May 31, 2023	A 2016 SLA exists between the City of Mississauga and Region of Peel.	Medium

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			the audit; however, the SLA has not been signed pending other formal processes management wish to include in the SLA.				
		15	The process to enter new and updated information for impervious area in the Infor Parcel module was automated in December 2022. The Bulk upload process still requires data loading by Infor staff, however, due to the aforementioned process automation, only one step is required instead of 5. The GIS Synch integration for parcels and impervious information from ESRI to Infor is in progress and functioning in a development environment.	December 31, 2020	April 30, 2023	The manual process is still in place until testing is completed.	Medium
		16	Development testing is now complete. Shifting maintenance processes to production in ESRI's ArcGIS Pro by the second week of February. Training to SW team will begin by the end of February.	December 31, 2020	April 30, 2023	GIS staff will continue to be responsible for the process until the Stormwater staff in T&W are trained.	Medium
Presto Card Revenue	1	3	ATP agreement with Region of Peel: No updates from RoP on draft agreement due to staff changes. MiWay staff continue to work with Legal to prepare draft agreement. Co-Fare Subsidy agreement with Metrolinx: TW Commissioner and Metrolinx signed revised agreement regarding changing the subsidy to 100% effective March 14, 2022.	December 31, 2021	December 31, 2023	None	Medium

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			TTC Route 52 agreement with TTC: Update to the agreement to address PRESTO upgrades is ongoing and delayed due to Ministers provincial and municipal table on fare and service integration.				
Fire Fleet Acquisition	1	19	The draft policy has been reviewed by Legal and will be circulated shortly for ExLT review.	October 31, 2020	March 31, 2023	The Evaluator Declaration Form, required from all evaluation team members for RFPs, has been strengthened with more specific instructions and responsibilities.	High
Paid Parking	3	5	Service Level Agreements (SLAs) with WOM finished. SLAs with FPM is not required at this time, as the level of involvement in that area is minimal. Municipal Parking staff are working on establishing formal communication protocols with key internal stakeholders to ensure Parking is consulted on all initiatives that may have an operational or financial impact on Municipal Parking. Additional time is required to complete due to capacity issues.	December 31, 2021	June 30, 2023	None	Medium
		11	Municipal Parking has provided their input and review of the Employee Parking Policy, however, completion of the Policy is pending review by other stakeholders (i.e. transit discount for employees (MiWay	June 30, 2021	June 30, 2023	Municipal Parking will continue to manage the current policy until the	Medium

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			Transit Division), carpooling TDM measures, access to the Executive Parking [Security]).			revised policy is adopted.	
		14	Waiting for the new management team within the City's Emergency Operations Team. Once in place, Municipal Parking will discuss a formal emergency plan, activities and requirements.	December 31, 2021	June 30, 2023	Municipal Parking will use the current emergency continuity plan and rely on existing SOPs for items not covered in that plan.	Medium
Sign Shop	1	8	<p>Draft Memorandum of Understanding (MOU) defining clear ownership and responsibilities of signs within Right of Way (ROW) circulated to all Divisions within the City for review. Some of them requested changes that were incorporated by the team.</p> <p>The Majority of stakeholders approved the MOU. The team is working with the last two Directors to ensure that their concerns are addressed.</p> <p>Approximately 98% of signs within ROW have been inventoried within the City's Collector Application Inventory System and categorized by sign type.</p>	December 31, 2021	March 15, 2023	None	Medium
Procurement Cards	1	1	A Project Lead has been assigned to this project and will begin update of all forms and website content. Additional FAQ's will be provided.	December 31, 2021	June 30, 2023	The PCard website has a number of resources and information for users, and a link to the	High

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			Delays were due to the PCard Administrator position being vacant (which recently has been filled). Reminders, tips and more training sessions will be coming – we are short staffed at the moment. Once year-end is over, more attention will be given to complete this recommendation.			Concur website has been added.	
IT Capital Projects	1	8	Finance has completed the review of chargebacks and an in-house tool was created for tracking purposes. The new Director, IT and CIO will review options for a time tracking solution to ensure appropriate allocation of chargebacks.	March 31, 2022	June 30, 2023	On monthly basis, Finance processes chargebacks for IT staff working on capital projects.	Medium
Works Current Maintenance Contracts	1	4	This will be addressed in the Vendor Performance and Disqualification policy, which is being addressed under HVA Audit action plan #8.	September 30, 2021	June 30, 2023	Current policy remains in effect until the updated policy is implemented.	High
Total	16						