

City of Mississauga Corporate Report



Date: September 8, 2020 To: Chair and Members of Audit Committee	Originator's files:
From: Paul A. Mitcham, P.Eng., MBA City Manager and Chief Administrative Officer	Meeting date: September 21, 2020

Subject

Status of Outstanding Audit Recommendations as of June 30, 2020

Recommendation

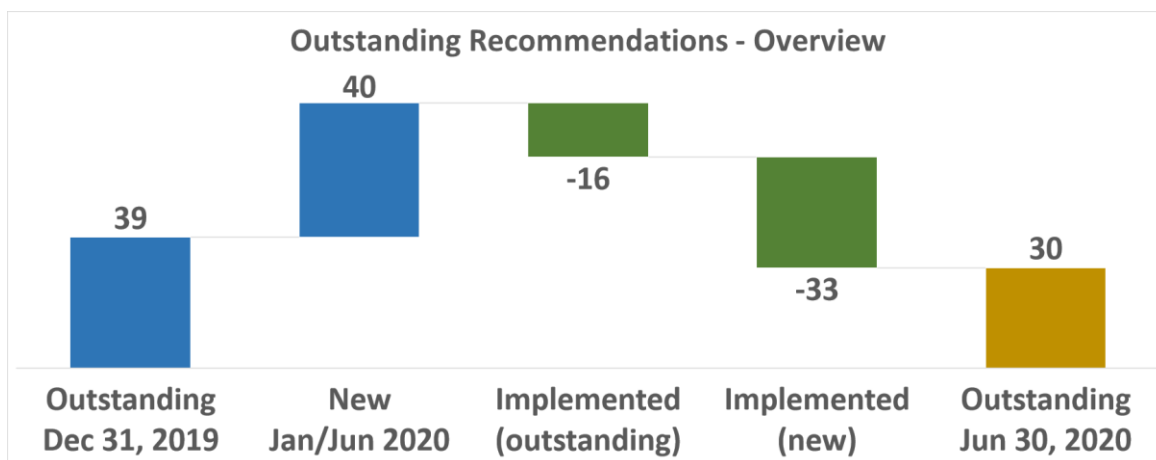
That the Corporate Report dated September 8, 2020 from the City Manager & Chief Administrative Officer regarding the status of outstanding audit recommendations as of June 30, 2020 be received for information.

Background

The terms of reference for the Audit Committee (By-law 0069-2015) requires the submission of an annual report from the City Manager and Chief Administrative Officer indicating the progress made in resolving the issues previously raised by Internal Audit and by the City's External Auditor. At the November 2011 Audit Committee meeting, members requested that bi-annual progress updates be submitted. The current report shows the status as of June 30, 2020.

Comments

The evolution of the audit recommendations since December 31, 2019 (the effective date of the previous status report to the Audit Committee) is presented in the chart below.



Sixteen (16) of the thirty-nine (39) previously outstanding recommendations have since been completed and implementation of these recommendations has been verified by Internal Audit. Twenty-three (23) recommendations remain outstanding.

Forty (40) new recommendations that were due between January 1, 2020 and June 30, 2020 were added to the list. Thirty-three (33) have been completed and implementation of these recommendations has been verified by Internal Audit. The remaining seven (7) recommendations are in various stages of implementation.

In addition, three (3) recommendations with a due date after June 30, 2020 have been completed ahead of the scheduled due date.

A summary of the recommendations which were outstanding as of December 31, 2019 and those scheduled for completion from January 1 to June 30, 2020 is provided in Appendix 1.

Clarifications provided by management on eleven (11) recommendations whose initial due date has passed over a year are presented in Appendix 2. Of these, all of which received revised target dates, six (6) involve review and updating of business processes, four (4) relate to enhancements of computer systems and one (1) entails a review and update of a By-law.

Financial Impact

There are no financial impacts resulting from the Recommendation in this report.

Conclusion

In summary, seventy-nine (79) recommendations were scheduled for implementation prior to June 30, 2020 (effective date of this report) and 49 (forty-nine) of them were completed. Another three (3) recommendations, due after June 30, 2020, were completed ahead of schedule. Work continues on the implementation of the thirty (30) recommendations that were outstanding as of June 30, 2020 and will be closely monitored to ensure timely implementation.

Attachments

Appendix 1: Status of Audit Recommendations Outstanding as at June 30, 2020

Appendix 2: Status of Audit Recommendations Outstanding and extended for more than a year as of June 30, 2020



Paul A. Mitcham, P.Eng., MBA
City Manager and Chief Administrative Officer

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