

**Status of Audit Recommendations  
Outstanding and Extended for More Than a Year  
as of September 30, 2023**

**Appendix 2**

9.4

<b>Audit</b>	<b>Rec. #</b>	<b>Comments/Status</b>	<b>Original Target Date</b>	<b>Revised Target Date</b>	<b>Compensating Controls in Place</b>	<b>Priority</b>	<b>Dependency</b>
Non-Union Payroll	4	<p>In order to limit the frequency of Payroll approving time on management's behalf (for both union and non-union), the unit is working to address the issue with the following:</p> <ol style="list-style-type: none"> <li>1. Establishment of the new Payroll Policy with the clause regarding the Leader being held accountable and responsible for ensuring timely approvals of entries</li> <li>2. Technology to support the workflow for approvals, and</li> <li>3. Continue shift to self-serve for employees to enter their time, for example, rollout of Fiori Timesheets for CMS and T&amp;W full-time groups.</li> </ol> <p>We are targeting completion of the policy for the end of this year; however phasing in the significant change for leaders will take longer; we are also working with IT Services to prioritize the technology components to support this change.</p>	December 31, 2019	<p>December 31, 2023 for the new policy</p> <p>March 31, 2024 for changes fully implemented</p> <p>June 1, 2024 for IT solution</p>	Yes	High	<p>IT (need IT solution or infrastructure)</p> <p>Internal (need internal support or approval - not IT)</p>
Stormwater Revenue	15	Staff are working on the Oracle and SQL migration and interface between GIS and Infor. Some cases required vendor assistance to resolve discrepancies.	December 31, 2020	November 30, 2023	Yes	Med	Internal (need internal support or approval - not IT)
Presto Card Revenue	3	<b>ATP agreement with Region of Peel</b> Staff at Region of Peel have indicated that an agreement between Brampton, Mississauga and RoP is expected to be	December 31, 2021	March 31, 2024	None	Med	External (partners, other levels of

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		<p>finalized by end of 2023. A draft agreement for review is expected by July 28, 2023. In May 2023, the Province announced that it would dissolve RoP effective January 2025. RoP staff are still working on a potential draft document.</p> <p><b>TTC Route 52 agreement with TTC</b> In March 2023, Ministry of Transportation announced upcoming fare integration changes between transit agencies in the GTA and the need for a new fare agreement with Metrolinx, Mississauga and the TTC, with more details to be disclosed in the coming months. This new Metrolinx agreement will have significant impact on contracted services with the TTC. Depending on the nature of the changes, a determination will be made if this agreement is still required and it will be updated accordingly. Transit agencies are currently working on the details of fare integration and the future of this agreement is pending the outcome of discussions between the Province and transit agencies.</p>					government, vendors)
Paid Parking	1	<p>The only outstanding item for this recommendation is the study to determine the City parking infrastructure needs and the funding required to fulfill those needs. This study has been delayed due to another priority project that has now been completed. The parking demand and infrastructure plan study has been initiated, and it is expected that it will take 1 year to complete.</p>	June 30, 2023	October 31, 2024	Yes	Med	Capacity (work progressing as capacity permits)

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	5	Municipal Parking determined that Service Level Agreement with Works Operations & Maintenance required revisions. The revisions were completed, and the document now requires final signatures to be put into effect.	December 31, 2021	December 15, 2023	None	Med	Capacity (work progressing as capacity permits);  Internal (need internal support or approval - not IT)
	11	Municipal Parking has provided their input and review of the Employee Parking Policy; however, completion of the policy is pending review by other stakeholders (i.e. transit discount for employees [MiWay Transit Division], carpooling Transportation Demand Management measures, access to the Executive Parking [Security]).  Municipal Parking team is working with the Corporate Policy Consultant to finalize the policy with the other stakeholders.	June 30, 2021	November 30, 2023	Yes	Med	Internal (need internal support or approval - not IT)
High Value Acquisitions	6	The training module is still being developed. The team is reviewing the first draft that was provided by the vendor. The training will be implemented in 2024.	March 31, 2023	June 30, 2024	None	High	External (partners, other levels of government, vendors);  Capacity (work progressing as capacity permits)

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	8	A decision was made to implement the digital supplier performance module within Bids & Tenders. As a result, the Vendor Performance Management and Disqualification Policy will be updated more thoroughly to include language around the use of the module.	December 31, 2022	December 31, 2024	Yes	High	Internal (need internal support or approval - not IT);  External (partners, other levels of government, vendors)
	14	The team on-boarded a new “sustainable procurement” staff member that will lead this change. Significant stakeholder engagement is required and several other new initiatives will also be incorporated into Sustainability Procurement Policy.	March 31, 2023	December 31, 2024	None	Med	Capacity (work progressing as capacity permits)
Fleet Management	14	A Budget Request was submitted for the training program; it is being processed according to the BR prioritization process.	July 1, 2023	July 1, 2025	None	High	Internal (need internal support or approval - not IT)
<b>Total: 10</b>							