

**Status of Audit Recommendations
Outstanding and Extended for More Than a Year as of December 31, 2023**

Audit	Rec. #	Comments/Status	Original Target Date	Revised Target Date	Compensating Controls in Place	Priority	Dependency
Non-Union Payroll	4	<p>In order to limit the frequency of Payroll approving time on management's behalf (for both union and non-union), the unit is working on the following:</p> <ol style="list-style-type: none"> 1. New policy principles were approved by LT including the requirement for Leaders to be responsible for approving worked hours for staff. Policy is being drafted. (Target Date: February 29, 2024) 2. Divisional leadership teams will be engaged to build awareness of the issue while the new policy is being implemented. (Target Date: March 31, 2024) 3. IT is tasked with finding the right technology solutions to address the issue. Solution will include: each manager having an automatic backup approver and an email escalation process whereby leaders, based on organizational hierarchy, will be notified of unapproved time. (Target Date: June 1, 2024) 	December 31, 2019	June 1, 2024	Yes	High	<p>IT (need IT solution or infrastructure)</p> <p>Internal (need internal support or approval - not IT)</p>
Stormwater Revenue	15	Staff are working on the Oracle and SQL migration and interface between GIS and Infor. Some cases required vendor assistance to resolve discrepancies. The user acceptance testing with the stormwater group is ongoing, which is the final step for automation.	December 31, 2020	January 31, 2024	Yes	Med	Internal (need internal support or approval - not IT)

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Presto Card Revenue	3	<p>ATP agreement with Region of Peel: Legal review complete, risk management review ongoing.</p> <p>TTC Route 52 agreement with TTC: In March 2023, Ministry of Transportation announced upcoming fare integration changes between transit agencies in the GTA and the need for a new fare agreement with Metrolinx, Mississauga and the TTC, with more details to be disclosed in the coming months. This new Metrolinx agreement will have significant impact on contracted services with the TTC. Depending on the nature of the changes, a determination will be made if this agreement is still required and it will be updated accordingly. Transit agencies are currently working on the details of fare integration and the future of this agreement is pending the outcome of discussions between the Province and transit agencies.</p>	December 31, 2021	June 30, 2024	None	Med	External (partners, other levels of government, vendors)
Paid Parking	1	The only outstanding item for this recommendation is the study to determine the City parking infrastructure needs and the funding required to fulfill those needs. This study has been delayed due to another priority project that has now been completed. The parking demand and infrastructure plan study has been initiated, and it is expected that it will take 1 year to complete.	June 30, 2023	October 31, 2024	Yes	Med	Capacity (work progressing as capacity permits)
	5	Municipal Parking determined that Service Level Agreement with Works Operations & Maintenance required revisions. The revisions were completed, and the document now requires final signatures to be put into effect.	December 31, 2021	January 31, 2024	None	Med	Capacity (work progressing as capacity permits)

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							Internal (need internal support or approval - not IT)
	11	<p>Municipal Parking has provided their input and review of the Employee Parking Policy; however, completion of the policy is pending review by other stakeholders (i.e. transit discount for employees [MiWay Transit Division], carpooling Transportation Demand Management measures, access to Executive Parking [Security]).</p> <p>Municipal Parking team is working with the Corporate Policy Consultant to finalize the policy with the other stakeholders.</p> <p>Furthermore, the Leadership Team has asked for additional revisions to be included with respect to reimbursement of parking. As such, it has been determined by Policy Planning that these revisions are not considered minor in nature and the policy will be required to undergo the full review process for approval.</p>	June 30, 2021	July 15, 2024	Yes	Med	Internal (need internal support or approval - not IT)
High Value Acquisitions	6	<p>Procurement Services recently became a member of the Supply Chain Management Association Ontario (SCMAO). They offer professional procurement-based courses on Statements of Work (SOWs), and the courses are complimentary with the membership. This approach is more aligned with the business objectives. The aim is to extend these offerings to buyers and clients to register for these courses in 2024 and going forward.</p>	March 31, 2023	June 30, 2024	None	High	<p>External (partners, other levels of government, vendors)</p> <p>Capacity (work progressing as capacity permits)</p>

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	8	<p>A decision was made to implement the digital supplier performance module within Bids & Tenders. As a result, the Vendor Performance Management and Disqualification Policy will be updated more thoroughly to include language around the use of the module.</p> <p>Initial draft of the Vendor Performance Management and Disqualification Policy has been circulated to stakeholders and quote was received from the supplier for the solution.</p>	December 31, 2022	December 31, 2024	Yes	High	Internal (need internal support or approval - not IT)
	14	<p>The team on-boarded a new “sustainable procurement” staff member that will lead this change. Significant stakeholder engagement is required and several other new initiatives will also be incorporated into the Sustainability Procurement Policy.</p> <p>Initial draft of the Sustainability Procurement Policy has been circulated to stakeholders.</p>	March 31, 2023	December 31, 2024	None	Med	Internal (need internal support or approval - not IT)
Fleet Management	14	<p>A Budget Request was submitted for the training program; it is being processed according to the BR prioritization process.</p>	July 1, 2023	July 1, 2025	None	High	Internal (need internal support or approval - not IT)
Total: 10							